

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Z2285-5-5

In Re Application Of: **Reding, et al.**

Serial No.
09/835,088

Filing Date
April 13, 2001

Examiner
Bartuska

Group Art Unit
3627

Title: **System and Method for Automated Commodities Transaction Including An Automatic Hedging Function**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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System and Method for Automated Commodities Transaction Including An Automatic Hedging

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on 3/ 11 /2004 with the U.S. Postal Service as Express mail under 37 C.F.R. 1.10 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Alexander R. Pagano, Esq.

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Signature

Dated: March 11, 2004

CC:



3-12-04

3627
#7

Express Mail No.: ER749928038US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Reding *et al.*

Serial No.: 09/835,088

Filing Date: April 13, 2001

Entitled: SYSTEM AND METHOD FOR AUTOMATED
COMMODITIES TRANSACTIONS INCLUDING AN
AUTOMATIC HEDGING FUNCTION

Attorney File No.: ~~Z2285-5-5~~

Art Unit: 3627 20697-301

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GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL RE: 37 C.F.R. § 1.56
NOTIFICATION OF OWNERSHIP OF RELATED APPLICATIONS**

SIR:

Applicant hereby notifies the Examiner assigned to the above-captioned case and the United States Patent and Trademark Office (the "USPTO") that Farms Technology LLC owns both the above-captioned application (U.S. Patent Appl. No. 09/835,088 by Reding *et al.*, filed April 13, 2001, and published April 18, 2002, as U.S. Pub. No. US2002/0046127 ("Reding") and U.S. Patent Appl. No. 10/209,994 and 10/417,729 (continuation of 10/209,994), the ("Tatge Applications"). Copies of the Tatge Applications are attached hereto as Exhibit A and Exhibit B respectively. Applicants submit this Notification because the Tatge Applications and Reding disclose subject matter that may be considered somewhat related by the USPTO.

I. FARMS TECHNOLOGY OWNS THE REDING APPLICATION

Farms Technology owns the Reding Application. The chain of title to Farms Technology LLC is set forth below.

All inventors in the Reding Application executed an assignment in February 2001, assigning their entire right, title, and interest to Rooster.com. The assignment was filed in the USPTO for recordation on December 11, 2003. Attached as Exhibit C is a copy of the assignment and recordation coversheet.

Rooster.com is an assumed name for eYield Solutions, Inc. Recordation of change of name in connection with assignment of the Reding Application from Rooster.com to eYield Solutions, Inc. was filed in the USPTO on December 11, 2003. Attached as Exhibit D are copies of the Recordation Cover Sheet and accompanying Certificate of Reinstatement 104018; Foreign Corporations Certificate of Revocation; and Certificate of Assumed Name as filed.

Finally, the Trustee on behalf of the estate of eYield Solutions, Inc. assigned its entire right, title, and interest in and to the Reding Application to Farms Technology, LLC. This assignment is recorded in the USPTO at Real/Frame 014208/0166. Attached as Exhibit E is a copy of the recorded assignment and Notice of Recordation.

This chain of title establishes ownership by Farms Technology LLC of the Reding Application.

II. FARMS TECHNOLOGY OWNS THE TATGE APPLICATIONS

Farms Technology owns the entire right, title and interest in and to the Tatge Applications. All inventors in the Tatge Applications executed an assignment in October 2002, assigning their entire right, title, and interest to Farms Technology. This assignment is recorded in the USPTO at Real/Frame 013520/0322. Attached as Exhibit F is a copy of the recorded assignment and Notice of Recordation.

This chain of title establishes ownership by Farms Technology LLC of the Tatge Applications.



CONCLUSION

In sum, both the Tatge Applications and Reding are commonly owned by Farms Technology LLC. And Farms Technology LLC has satisfied its duty of disclosure under 37 C.F.R. § 1.56. No charge is required for submission of this Information Disclosure. However, if any fees are required, the commissioner is authorized to charge them to deposit account number 501358.

Respectfully submitted,

Ally Law, 44,994

per Glen E. Books

Glen E. Books

Reg. No. 24,950

Attorney for Applicant

March 11, 2004

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